



Serial No. 10/700,063

Docket No. RD-27511-1

EPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard Edwin Warren Jr. et al. : Group Art Unit: 2881
Serial No.: 10/700,063 : Examiner:
Filed: October 31, 2003 :
For MONITORED LASER SHOCK PEENING :

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- 1 **Pursuant to 37 CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

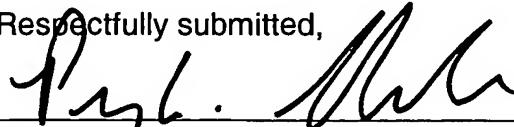
- 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- 8 The required Certification made in item 11 below; or
- 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- 10 37 CFR §1.97(d): [On or before issue fee payment]; and
 - a) The required Certification is stated in item 11 below; and
 - b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- 11 Certification
 - 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- 14 Payment of all applicable fees:
 - 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Feb. 22, 2005 -

Date

General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147

Respectfully submitted,



Attorney Penny A. Clarke

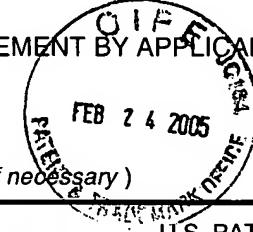
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)



Applicant

Richard Edwin Warren Jr. et al.

Filing Date

October 31, 2003

Group

2881

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER					DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA										
AB										
AC										
AD										
AE										
AF										
AG										
AH										
AI										
AJ										
AK										

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	No
	AL								
	AM								
	AN								
	AO								
	AP								

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	US2003/0038123 A1, Dykes et al., "OVERLAY CONTROL FOR LASER PEENING," February 27, 2003.
AS	EP SEARCH REPORT, EP04256633, February 7, 2005.
AT	

EXAMINER

DATE CONSIDERED